

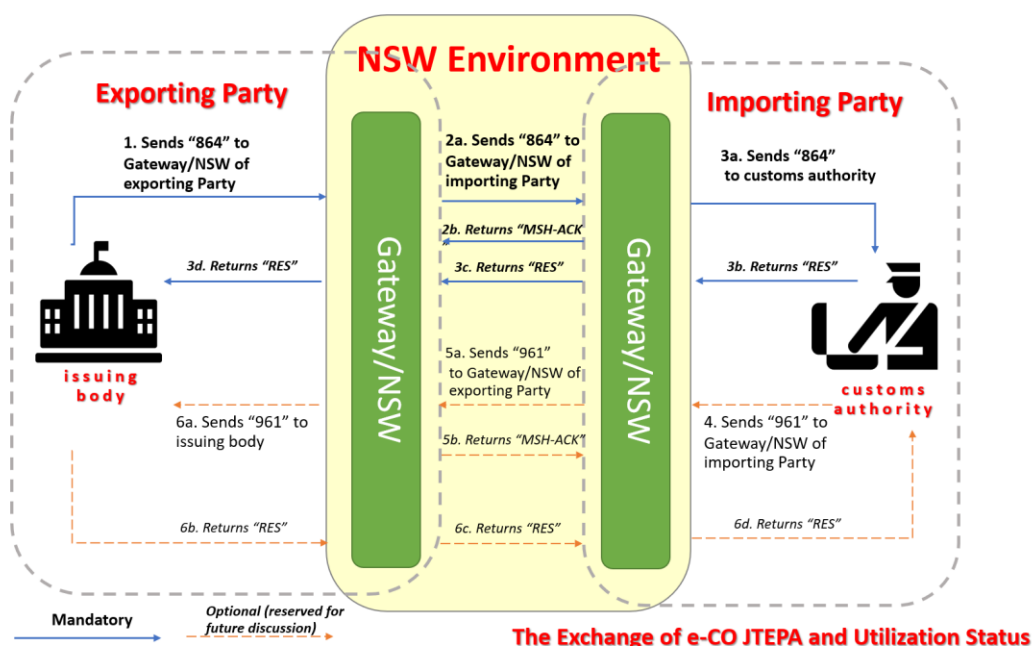
e-CO JTEPA

Business Process Specification under JTEPA

Note: Business Process Specifications under JTEPA consist of three separate documents titled “e-CO JTEPA Business Process Specification”, “Revocation Business Process Specification” and “Contact Points and Contingency Plan Business Process Specification”.

1 Message Flows

Figure 1^{Note 1} below shows the message flows of the e-CO under JTEPA (‘e-CO JTEPA’) (“864”) [, the Utilization Status (“961”)]^{Note 2} and the related system acknowledgement status (“RES”) messages.



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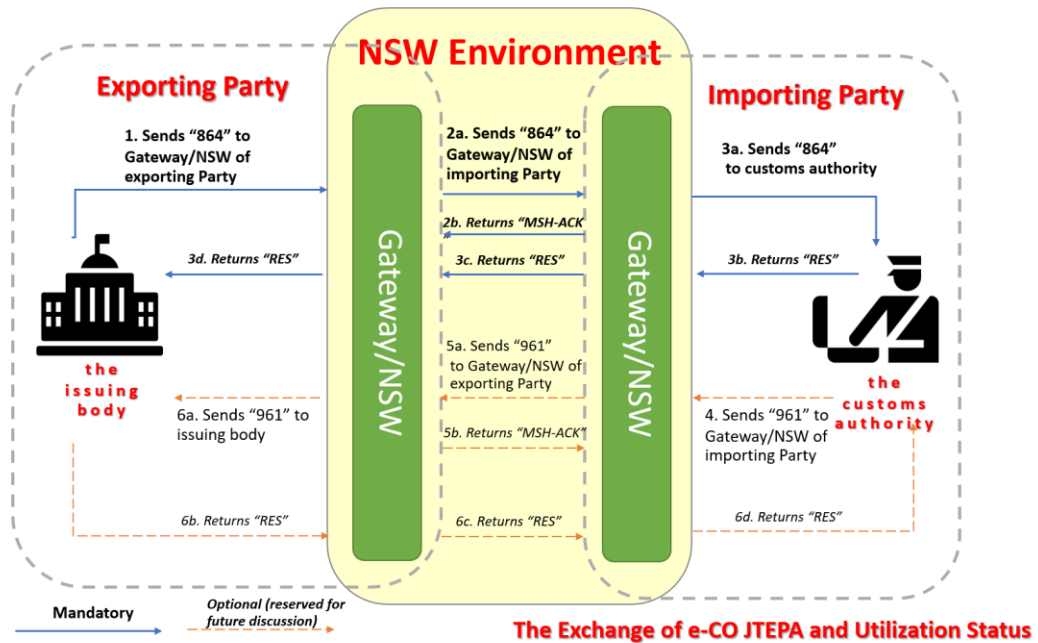


Figure 1 – Message Flows

The step-by-step processes of sending an e-CO JTEPA [and returning a Utilization Status] are described in more detailed below.

Note 1: In the Table 1 below and Figure 1 above, "NSW" means the National Single Window of Thailand, "Gateway" means Japan's Gateway and "Gateway/NSW" means Gateway or NSW.

Note 2: Reference to Utilization Status ("961") is square-bracketed in this document as exchange of utilization status is not included in the initial implementation of the e-CO JTEPA.

1.1 Sending an e-CO JTEPA

As shown in Figure 1, and detailed in Table 1, the process for sending an e-CO JTEPA ("864") starts with the competent governmental authority of the exporting Party or its designees ('the issuing body of the exporting Party') sending e-CO ("864") (Step 1) and ends when it receives an acknowledgement status ("RES") message from the customs authority of the importing Party (Step 3d). Please refer to the MIG in Appendix B.1 for the XML example of e-CO JTEPA ("864") and Appendix B.2 (TBD) for the XML example of RES.

Table 1: Step-by-Step Process for Sending an e-Form JTEPA

| Step | Description |
|------|--|
| 1 | <p>The issuing body of the exporting Party sends an e-CO JTEPA ("864") to the Gateway/NSW of the exporting Party, from its back-end system.</p> <p><i>Please note that this step is Mandatory.</i></p> |

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| Step | Description |
|------|---|
| 2a | The Gateway/NSW of the exporting Party sends the e-CO JTEPA (“864”) to the Gateway/NSW of the importing Party. <i>Please note that this step is Mandatory.</i> |
| 2b | The Gateway/NSW of the importing Party returns an acknowledgement (“MSH-ACK”) to the Gateway/NSW of the exporting Party. <i>Please note that this step is Mandatory.</i> Note: MSH stands for “Message Service Handler” |
| 3a | The Gateway/NSW of the importing Party sends the e-CO JTEPA (“864”) to the customs authority of the importing Party. <i>Please note that this step is Mandatory.</i> |
| 3b | The customs authority of the importing Party returns an acknowledgement status (“RES”) to the Gateway/NSW of the importing Party, either from their back-end system or from a facility built into the Gateway/NSW. <i>Please note that this step is Mandatory.</i> |
| 3c | The Gateway/NSW of the importing Party returns the acknowledgement status (“RES”) to the Gateway/NSW of the exporting Party. <i>Please note that this step is Mandatory.</i> |
| 3d | The Gateway/NSW of the exporting Party returns the acknowledgement status (“RES”) to the issuing body of the exporting Party. <i>Please note that this step is Mandatory.</i> |

1.2 Returning a Utilization Status

Note: The following contents of function 1.2 and Table 2 are the initial draft only and not a final version. Any discussion has not been made yet on them. Whether to implement the function of Utilization Status (“961”) and the contents of the function 1.2 and table 2 depends on future discussion between both Parties.

As shown in Figure 1, and detailed in Table 2, the process for returning a Utilization Status starts with the Customs Authority in the Importing Country (Step 4) and ends when they receive an Acknowledgement Status (RES) from the Certificate Issuing Authority in the Exporting Country (Step 6d).

It should be noted that, in the future, the Utilization Status will be mandatory for all e-Form JTEPA, except under two specific conditions; if the e-FORM JTEPA is not being submitted for preferential treatment or if the e-FORM JTEPA has been cancelled.

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Table 2: Step-by-Step Process for Returning a Utilization Status

| Step | Description |
|------|---|
| 4 | The Customs Authority of the Importing Country sends the Utilization Status to the Exporting Country, either from their back-end system or from a facility built into the NSW. <i>Please note that this step is Mandatory for e-FORM JTEPA presented for preferential tariff treatment, EXCEPT for the cancelled e-FORM JTEPA.</i> |
| 5a | The NSW of the Importing Country sends the Utilization Status to the NSW of the Exporting Country. <i>Please note that this step is Mandatory.</i> |
| 5b | The NSW of the Exporting Country returns an Acknowledgement (MSH-ACK) to the NSW of the Importing Country. <i>Please note that this step is Mandatory.</i> |
| 6a | The NSW of the Exporting Country sends the Utilization Status to the Certificate Issuing Authority of the Exporting Country, either to their back-end system or a facility built into the NSW. <i>Please note that this step is Mandatory.</i> |
| 6b | The Certificate Issuing Authority of the Exporting Country returns an Acknowledgement Status (RES) to the NSW of the Exporting Country, either from their back-end system or from a facility built into the NSW. <i>Please note that this step is Optional.</i> |
| 6c | The NSW of the Exporting Country returns the Acknowledgement Status (RES) to the NSW of the Importing Country. <i>Please note that this step is Optional.</i> |
| 6d | The NSW of the Importing Country returns the Acknowledgement Status (RES) to the Customs Authority of the Importing Country. <i>Please note that this step is Optional.</i> |

2. General Note

- This document can be revised only when both Parties confirm its revisions, whenever necessary.